- (w) Logical Mining Unit reserves has the meaning set forth in the term logical mining unit recoverable coal reserves in §3480.0–5(a)(23) of this title.
- (x) Maximum economic recovery has the meaning set forth in § 3480.0–5(a) (24) of this title.
- (y) Mineral leasing laws mean the Mineral Leasing Act of 1920, as amended (30 U.S.C. 181 et seq.), and the Mineral Leasing Act for Acquired Lands of 1947, as amended (30 U.S.C. 351-359).
- (z) *Mining plan* means a resource recovery and protection plan as described in §3480.0–5(a)(39) of this title.
- (aa) Mining Supervisor means the authorized officer.
- (bb) *Mining unit* means an area containing technically recoverable coal that will feasibly support a commercial mining operation. The coal may either be Federal coal or be both Federal and non-Federal coal.
- (cc) *Operator* means a lessee, exploration licensee or one conducting operations on a lease or exploration license under the authority of the lessee or exploration licensee.
- (dd) *Permit* has the meaning set forth in 30 CFR Chapter VII.
- (ee) *Permit area* has the meaning set forth in 30 CFR Chapter VII.
- (ff) *Public bodies* means Federal and state agencies; political subdivisions of a state, including counties and municipalities; rural electric cooperatives and similar organizations; and nonprofit corporations controlled by any such entities.
- (gg) Qualified surface owner means the natural person or persons (or corporation, the majority stock of which is held by a person or persons otherwise meeting the requirements of this section) who:
- (1) Hold legal or equitable title to the surface of split estate lands;
- (2) Have their principal place of residence on the land, or personally conduct farming or ranching operations upon a farm or ranch unit to be affected by surface mining operations; or receive directly a significant portion of their income, if any, from such farming and ranching operations; and
- (3) Have met the conditions of paragraphs (gg) (1) and (2) of this section for a period of at least 3 years, except for persons who gave written consent

- less than 3 years after they met the requirements of both paragraphs (gg) (1) and (2) of this section. In computing the three year period the authorized officer shall include periods during which title was owned by a relative of such person by blood or marriage if, during such periods, the relative would have met the requirements of this section.
- (hh) Reserves has the meaning set forth in the term recoverable coal reserves in §3480.0-5(a)(37) of this title.
- (ii) Secretary means the Secretary of the Interior.
- (jj) Sole party in interest means a party who is and will be vested with all legal and equitable rights under a lease, bid, or an application for a lease. No one is a sole party in interest with respect to a lease or bid in which any other party has any interest.
- (kk) *Split estate* means land in which the ownership of the surface is held by persons, including governmental bodies, other than the Federal government and the ownership of underlying coal is, in whole or in part, reserved to the Federal government.
- (ll) Substantial legal and financial commitments means significant investments that have been made on the basis of a long-term coal contract in power plants, railroads, coal handling and preparation, extraction or storage facilities and other capital intensive activities. Costs of acquiring the coal in place or of the right to mine it without an existing mine are not sufficient to constitute substantial legal and financial commitments.
- (mm) Surface coal mining operations means activities conducted on the surface of lands in connection with a surface coal mine or surface operations and surface impacts incident to an underground mine, as defined in section 701(28) of the Surface Mining Control and Reclamation Act (30 U.S.C. 1291(28).
- (nn) Surface management agency means the Federal agency with jurisdiction over the surface of federally owned lands containing coal deposits, and, in the case of private surface over Federal coal, the Bureau of Land Management, except in areas designated as National Grasslands, where it means the Forest Service.

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(oo) *Surface Mining Officer* means the regulatory authority as defined in 30 CFR Chapter VII.

(pp) *Valid existing rights* as used in §3461.1 of this title is defined in 30 CFR 761.5.

(qq) *Written consent* means the document or documents that a qualified surface owner has signed that:

(1) Permit a coal operator to enter and commence surface mining of coal;

- (2) Describe any financial or other consideration given or promised in return for the permission, including inkind considerations;
- (3) Describe any consideration given in terms of type or method of operation or reclamation for the area;
- (4) Contain any supplemental or related contracts between the surface owner and any other person who is a party to the permission; and
- (5) Contain a full and accurate description of the area covered by the permission.
- (rr) For the purposes of section 2(a)(2)(A) of the Act:
- (1) Arm's length transaction means the transfer of an interest in a lease to an entity that is not controlled by or under common control with the transferor.
- (2) Bracket means a 10-year period that begins on the date that coal is first produced on or after August 4, 1976, from a lease that has not been made subject to the diligence provisions of part 3480 of this title on the date of first production.
- (3) Controlled by or under common control with, based on the instruments of ownership of the voting securities of an entity, means:
- (i) Ownership in excess of 50 percent constitutes control;
- (ii) Ownership of 20 through 50 percent creates a presumption of control; and

(iii) Ownership of less than 20 percent creates a presumption of noncontrol.

- (4) *Entity* means any person, association, or corporation, or any subsidiary, affiliate, or persons controlled by or under common control with such person, association, or corporation.
- (5) Holds and has held means the cumulative amount of time that an entity holds any working interest in a lease on or after August 4, 1976. The

holds and has held requirement of section 2(a)(2)(A) of the Act is working interest holder-specific for each lease. Working interest includes both record title interests and arrangements whereby an entity has the ability to determine when, and under what circumstances, the rights granted by the lease to develop coal will be exercised.

- (6) *Producing* means actually severing coal. A lease is also considered producing when:
- (i) The operator/leasee is processing or loading severed coal, or transporting it from the point of severance to the point of sale; or
- (ii) Coal severance is temporarily interrupted in accordance with §§ 3481.4-1 through 4-4 of this chapter.

[44 FR 42609, July 19, 1979, as amended at 47 FR 33133, 33134, July 30, 1982; 47 FR 38131, Aug. 30, 1982; 50 FR 8626, Mar. 4, 1985; 51 FR 43921, Dec. 5, 1986; 52 FR 416, Jan. 6, 1987; 62 FR 44369, Aug. 20, 1997]

§ 3400.1 Multiple development.

- (a) The granting of an exploration license, a license to mine or a lease for the exploration, development, or production of coal deposits shall preclude neither the issuance of prospecting permits or mineral leases for prospecting, development or production of deposits of other minerals in the same land with suitable stipulations for simultaneous operation, nor the allowance of applicable entries, locations, or selections of leased lands with a reservation of the mineral deposits to the United States.
- (b) The presence of deposits of other minerals or the issuance of prospecting permits or mineral leases for prospecting, development or production of deposits of other minerals shall not preclude the granting of an exploration license, a license to mine or a lease for the exploration, development or production of coal deposits on the same lands with suitable stipulations for simultaneous operations.

[44 FR 42609, July 19, 1979, as amended at 47 FR 33134, July 30, 1982]

§ 3400.2 Lands subject to leasing.

The Secretary may issue coal leases on all Federal lands except:

- (a) Lands in:
- (1) The National Park System;